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Palladium(0)-catalyzed cis-selective alkylative and arylative cyclization

of alkynyl enones with organoboron reagents

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abstract

A palladium(0)-tricyclohexylphosphine catalyzes cis-selective alkylative and arylative cyclization of alkyne-containing electron-deficient alkenes with organoboron reagents to provide five- or six-membered rings with exo tri- or tetra-substituted alkenes. The opposite stereoselectivity to that for the alkyne–aldehyde cyclization using the same reagents would result from palladacycle-forming oxidative addition of the substrates to the $Pd⁰$ catalyst followed by transmetalation with the boron reagents, protonation, and reductive elimination. The functional group compatibility, availability, stability, and nontoxicity of the reagents, and the fact that no additives are needed make the process more practical than the Ni⁰-catalyzed cyclization with organozinc reagents.

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Organoboron reagents are generally non-toxic, commercially available, stable, and compatible with various functional groups, and often employed for a wide variety of Pd⁰-catalyzed carbon– carbon bond formations such as cross-coupling reactions, $¹$ $¹$ $¹$ aryla-</sup> tions of unsaturated carbon–carbon bonds, $2-4$ and alkylative cyclization reaction of functionalized unsaturated carbon–carbon bonds[.5–7](#page-2-0) Our recent interest has been focused on base-free transformations of substrates containing no halogens because they are atom-economical and does not form inorganic salts.^{4a,5}

Recently, we discovered the palladium(0)/monophosphinecatalyzed trans-selective alkylative cyclization of alkyne–aldehyde 1 with organoboron reagents to provide structurally complex cyclic allylic alcohols $2a$ and $2b$ ([Scheme 1\)](#page-1-0).^{5a,b} The trans selectivity is in striking contrast to for the Ni⁰-catalyzed cis-selective one with organozinc reagents[.8](#page-2-0) The opposite selectivity in these cyclization reactions would originate from the different oxidative addition mode of alkynal 1 to these catalysts. Whereas 'anti-Wacker'-type oxidative addition to the $Pd⁰$ catalyst and concomitant transmetalation with the boron reagents would occur in the former cyclization, metallacycle-forming one to the $Ni⁰$ catalyst and subsequent transmetalation with the zinc reagents would occur in the latter cyclization. The different oxidative addition mode to the same group VIII metals would imply that the $Pd⁰$ catalyst has lower tendency to form π -complex with the carbonyl group than the Ni⁰ catalyst. Herein, we have investigated whether α , β -unsaturated carbonyls in 4 as an electrophile change the oxidative addition mode to the Pd^{0} catalyst.⁹ The alkyne–enones 4 were reported to undergo cis-selective alkylative cyclization under the $Ni⁰$ catalysis.[10](#page-2-0)

The arylative cyclization of (2E)-1-phenyl-2-octen-7-yn-1-one (4a) with a slight excess of phenylboronic acid and its anhydride mixture in a ratio of 2 to 1^{11} 1^{11} 1^{11} proceeds in the presence of 5 mol % of PdCp($\eta^3 - C_3 H_5$)^{[12](#page-2-0)} and 15 mol % of PCy₃, and provides *cis*-addition product **5aA** in good yield [\(Scheme 2](#page-1-0), [Table 1](#page-1-0), entry 1).^{[13](#page-2-0)} In contrast to the cyclization of alkynal 1, methanol is not an essential solvent for the cyclization of 4a and 1,4-dioxane turns out to be better. The yield of 5aA is dramatically decreased as the ratio of phenylboronic anhydride in the mixture increases (entry 1 vs entries 2 and 3). These results indicate that Brønsted acidity of the boronic acid is important for the cyclization. Importantly, a Pd⁰ catalyst ligated with less σ -donating PPh₃ is less effective for the cyclization than the one with more σ -donating PCy₃ (entry 2) vs entry 4).^{[14](#page-2-0)}

Arylboronic acids with electron-donating ([Table 1](#page-1-0), entries 5 and 6) or -withdrawing (entries 7 and 8) groups serve as nucleophiles in this process, which leads to formation of cyclized products 5aB-E in moderate to good yields. Generally, electron-rich boronic acids give higher yields than their electron-deficient counterparts. Trialkylborane possessing β-hydrogens participates in this process without undergoing competitive β -hydride elimination only when reaction is conducted in methanol (entries 9 and 10).

Enones 4b–d containing aryl, alkyl, and methoxycarbonyl group-substituted internal alkynes also undergo the cis-selective cyclization with reaction in methanol [\(Scheme 3,](#page-1-0) [Table 2,](#page-1-0) entries 1–3). Tertiary carbon, oxygen, and nitrogen-tethered alkynyl enones 4e–g also cyclize and provide five-membered carbo- and heterocycles 5e-gB (entries 4-6). Alkynyl enone 4h as a homologue of 4g is successfully converted to six-membered cyclized compound 5hB (entry 7).

Next, cyclization reactions of terminal alkynes 4i-m containing various electron-deficient alkenes were explored ([Scheme 4](#page-1-0), [Table](#page-1-0)

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A: 'anti-Wacker'-type oxidative addition. B: metallacycle-forming oxidative addition.

Scheme 1. Ni⁰- and Pd⁰-catalyzed alkylative cyclization reactions of alkyne–aldehyde 1 and –enone 4.

Scheme 2. Pd-catalyzed arylative and alkylative cyclization of 4a. Reagents and conditions: (a) 1.2 equiv [R-B] $6A-F$, 5 mol % PdCp(η^3 -C₃H₅), 15 mol % PCy₃, 1,4dioxane, 80 °C, 30 min.

Effects of boron reagents on the arylative and alkylative cyclization of 4a

 a Reaction in MeOH (entries 1-3, 5 and 7) and 1,4-dioxane (entries 4 and 6).

Scheme 4. Effect of electron-deficient alkenes on the arylative cyclization. Reagents and conditions: (a) 1.2 equiv 6B, 5 mol % PdCp(η^3 -C₃H₅), 15 mol % PCy₃, 1,4-dioxane or MeOH, 80° C, 30 min.

^a Reaction with 5 mol % of Pd(PPh₃₎₄ as a catalyst.
^b Boronic anhydride is also contained.

 $\frac{c}{d}$ 1.6 equiv of nucleophile is used.

Table 1

Formation of 5aF was not observed by TLC.

Reaction in MeOH in place of 1,4-dioxane.

 $X \rightarrow \text{COPh}$ $\longrightarrow \text{C}_6H_4\text{-p-OME}$ **4b-h** COPh **5b-hB** R^1 R¹ $\mathcal{N}_n \sim$ COPh \mathcal{N}_n

Scheme 3. Effects of alkyne substituents and tethers on the arylative cyclization. Reagents and conditions: (a) 1.2 equiv 6B, 5 mol % $PdCp(n^3-C_3H_5)$, 15 mol % PCy_3 , 1,4-dioxane or MeOH, 80 °C, 30 min.

3). More electron-deficient alkenes such as enal, alkylidene malonate, and nitroalkene make the cyclization in 1,4-dioxane smoother than less electron-deficient ones such as methyl vinyl ketone and

Table 3 Effect of electron-deficient alkenes on the arylative cyclization^a

Entry		R^2	R^3		Yield $(\%)$
	4i	CHO	H	5iB	64
	4j	COMe	H	5jB	39
3	4j	COMe	H	5jB	57
	4k	CO ₂ Et	H	5kB	ndb
5	4k	CO ₂ Et	H	5kB	36
6	41	CO ₂ Et	CO ₂ Et	51B	49
	4m	NO ₂	H	5 _{mB}	40

Reaction in 1,4-dioxane (entries 1, 2, 4, 6 and 7) and MeOH (entries 3 and 5). b Hydroarylation of the alkyne instead of cyclization occurred.</sup>

enoate (entries 1, 6 and 7 vs entries 2 and 4). Methanol as a solvent increases the yield of the cyclization reaction of the substrates containing the latter alkenes, again (entries 2 vs 3 and 4 vs 5).

Scheme 5. Possible mechanism of the arylative cyclization of 4.

Scheme 6. Arylative cyclization of $4c$ in methanol- d_4 . Reagents and conditions: (a) 1.2 equiv 6B, 5 mol % PdCp(η^3 -C₃H₅), 15 mol % PCy₃, CD₃OD, 80 °C, 30 min.

The plausible mechanism for the arylative cyclization is outlined in Scheme 5. The catalytic cycle would be initiated by oxidative addition of alkynyl enones 4 to $Pd⁰$ with metallacycle formation.15–17 Transmetalation and protonation with the boronic acid or its reverse sequence followed by reductive elimination reproduces the $Pd⁰$ catalyst along with cis-addition product 5. The oxidative addition mode is quite different from that of the Pd⁰-catalyzed alkylative cyclization of alkynyl aldehydes and would result from much higher tendency for carbon–carbon double bond to form π -complex with the Pd⁰ catalyst than that for carbon–heteroatom double bond. The oxidative addition step could be accelerated by use of hydrogen donors such as boronic acids and methanol solvent for the activation of the carbonyl group in 4 as well as the Pd catalyst ligated with more σ -donating PCy₃. Incorporation of a deuterium atom into the α -position of phenyl ketone in **5cB** with reaction in methanol- d_4 was observed (Scheme 6) and would support the formation of palladacycle 7.

In summary, we have developed the Pd^o-catalyzed arylative and alkylative cyclization reaction of alkynyl enones with organoboron reagents. The functional group compatibility, availability, stability, and non-toxicity of the reagents and the fact that no additives are needed make the process more practical than the Ni⁰-catalyzed cyclization with organozinc reagents. Rh^I catalyst is also reported to promote the same type of cyclization via transmetalation with arylboronic acid and sequential carborhodations of alkyne and enones[,18](#page-3-0) but it has some limitations including unlikely alkyl group introduction and chemo- and regioselectivity in the cyclization of alkyne–enals and phenyl-substituted alkyne–enones such as 4i and 4b. Although the five- and six-membered ring systems containing both exo-alkylidene and (carbonyl)methyl groups can also be produced by other Pd⁰-catalyzed cyclization of alkyne–allylic alcohols^{[19](#page-3-0)} and -allylic geminal diacetate,^{[20](#page-3-0)} these require a base additive leading to stoichiometric salt formation, and troublesome substrate preparation and methanolysis of the enolacetate formed by the cyclization, respectively. Further studies on transformation of the functionalized cyclic compounds generated in these reactions are underway.

Acknowledgments

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those of the reported one.^{10a}
- 14. However, $Pd(PPh₃)₄$ turned out to be effective for the cyclization of more reactive alkyne–enones such as 4g.
- 15. At this time, it is not possible to rule out a mechanism involving formation of π allylpalladium via oxidative addition of enone moiety in 4 to the Pd⁰ catalyst followed by insertion of the intramolecular alkyne to generate the common intermediates 7 and 8. However, the cyclization reaction of 9, which cannot form palladacycle owing to its short tether, did not afford alkyne insertion products 11 or 12. It is reported that the oxidative addition of enone to the $Pd⁰$

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 a 1.2 equiv 6B, 5 mol % PdCp(η^3 -C₃H₅), 15 mol % PCy₃, 1,4-dioxane or MeOH, 80 °C, 30 min. $Ar = C_6H_4$ -p-OMe.

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